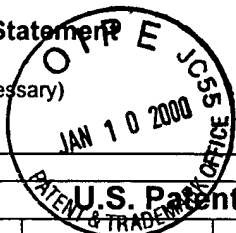


Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09857-018001	Application No. 09/346,719
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Ana Gabriela Anaya et al.	
		Filing Date July 2, 1999	Group Art Unit 2761



U.S. Patent Documents							
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	AA						
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	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
JPL	AQ	Architecture (1997), "SWAT System Overview," by The Nasdaq Stock Market, Inc. Revised June 12, 1997, 23 pp.
JPL	AR	Architecture (1997), "QTC Sub-System Overview," by The Nasdaq Stock Market, Inc., April 8, 1997, 10 pp.
	AS	
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